

AUDITOR OF THE STATE

State Contracts Over \$50,000 Awarded To Minority Owned Businesses Fiscal Year 2020

None

Employment Summary

| | Male | Female | Total | % |
|-------------------------|------|--------|-------|-------|
| White Employees | 10 | 10 | 20 | 77 % |
| Black Employees | 1 | 4 | 5 | 19 % |
| Other Racial Minorities | 1 | 0 | 1 | 4 % |
| Total Minorities | | | 6 | 23 % |
| Total Employees | | | 26 | 100 % |

Publications

A.C.A. 25-1-201 et seq.

| Name | Statutory Authorization | Required for | | # of Copies | Reason(s) for Continued Publication and Distribution | Unbound Black & White Copies Produced During the Last Two Years | Cost of Unbound Copies Produced During the Last Two Years |
|------|-------------------------|--------------|------------------|-------------|--|---|---|
| | | Governor | General Assembly | | | | |
| N/A | N/A | N | N | 0 | N/A | 0 | 0.00 |

Department Appropriation Summary

| Appropriation | Historical Data | | | | | | Agency Request and Executive Recommendation | | | | | | | |
|---|-------------------|------------|-------------------|------------|-------------------|------------|---|------------|-----------|----------|-------------------|------------|-----------|----------|
| | 2019-2020 | | 2020-2021 | | 2020-2021 | | 2021-2022 | | | | 2022-2023 | | | |
| | Actual | Pos | Budget | Pos | Authorized | Pos | Agency | Pos | Executive | Pos | Agency | Pos | Executive | Pos |
| 005 Operations | 1,901,405 | 20 | 2,723,663 | 20 | 2,723,663 | 20 | 2,732,111 | 20 | 0 | 0 | 2,732,111 | 20 | 0 | 0 |
| 009 Constitutional Officers-Auditor | 220,945 | 0 | 795,000 | 0 | 795,000 | 0 | 795,000 | 0 | 0 | 0 | 795,000 | 0 | 0 | 0 |
| 122 Unclaimed Property | 1,365,196 | 11 | 2,853,789 | 11 | 2,853,789 | 11 | 2,858,333 | 11 | 0 | 0 | 2,858,333 | 11 | 0 | 0 |
| 123 CountyTreasurers Continuing Education | 44,157 | 0 | 69,091 | 0 | 75,000 | 0 | 75,000 | 0 | 0 | 0 | 75,000 | 0 | 0 | 0 |
| 1PD County & Circuit Clerks Continuing Educat | 74,096 | 0 | 150,000 | 0 | 150,000 | 0 | 150,000 | 0 | 0 | 0 | 150,000 | 0 | 0 | 0 |
| 1UG Deputy Prosecuting Attorneys | 23,688,665 | 258 | 24,886,429 | 258 | 24,868,441 | 258 | 24,979,300 | 258 | 0 | 0 | 24,979,300 | 258 | 0 | 0 |
| 465 County Collectors Continuing Education | 18,906 | 0 | 75,000 | 0 | 75,000 | 0 | 75,000 | 0 | 0 | 0 | 75,000 | 0 | 0 | 0 |
| A04 Unclaimed Property-Cash | 16,552,780 | 0 | 25,055,250 | 0 | 25,055,250 | 0 | 25,055,250 | 0 | 0 | 0 | 25,055,250 | 0 | 0 | 0 |
| C50 Payment-Unclaimed Mineral Proceeds | 941,435 | 0 | 2,500,500 | 0 | 2,500,500 | 0 | 2,500,500 | 0 | 0 | 0 | 2,500,500 | 0 | 0 | 0 |
| F83 Cty Coroners Ed Fund | 26,484 | 0 | 75,000 | 0 | 75,000 | 0 | 75,000 | 0 | 0 | 0 | 75,000 | 0 | 0 | 0 |
| Total | 44,834,069 | 289 | 59,183,722 | 289 | 59,171,643 | 289 | 59,295,494 | 289 | 0 | 0 | 59,295,494 | 289 | 0 | 0 |

| Funding Sources | | % | | % | | % | | % | | % | | % | | |
|---------------------------------------|---------|---------------------|--------------|---------------------|--------------|---|---------------------|--------------|----------|------------|---------------------|--------------|----------|------------|
| Fund Balance | 4000005 | 81,147,751 | 62.5 | 85,045,178 | 58.8 | | 85,432,837 | 58.9 | 0 | 0.0 | 85,837,087 | 59.0 | 0 | 0.0 |
| Constitutional Officers Fund | 4000025 | 220,945 | 0.2 | 795,000 | 0.5 | | 795,000 | 0.5 | 0 | 0.0 | 795,000 | 0.5 | 0 | 0.0 |
| State Central Services | 4000035 | 26,955,266 | 20.8 | 30,463,881 | 21.1 | | 30,569,744 | 21.1 | 0 | 0.0 | 30,569,744 | 21.0 | 0 | 0.0 |
| Cash Fund | 4000045 | 45,861,352 | 35.3 | 28,050,000 | 19.4 | | 28,050,000 | 19.3 | 0 | 0.0 | 28,050,000 | 19.3 | 0 | 0.0 |
| Fees | 4000245 | 105,919 | 0.1 | 105,000 | 0.1 | | 105,000 | 0.1 | 0 | 0.0 | 105,000 | 0.1 | 0 | 0.0 |
| Real Estate Transfer Tax | 4000403 | 157,791 | 0.1 | 157,500 | 0.1 | | 157,500 | 0.1 | 0 | 0.0 | 157,500 | 0.1 | 0 | 0.0 |
| Transfer to Treasury | 4000680 | (24,569,777) | (18.9) | 0 | 0.0 | | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| Total Funds | | 129,879,247 | 100.0 | 144,616,559 | 100.0 | | 145,110,081 | 100.0 | 0 | 0.0 | 145,514,331 | 100.0 | 0 | 0.0 |
| Excess Appropriation/(Funding) | | (85,045,178) | | (85,432,837) | | | (85,814,587) | | 0 | | (86,218,837) | | 0 | |
| Grand Total | | 44,834,069 | | 59,183,722 | | | 59,295,494 | | 0 | | 59,295,494 | | 0 | |

Variance in Fund Balance is due to unfunded appropriation in appropriation 123. FY21 Budget amount in appropriation 1UG exceeds the authorized amount due to matching rate adjustments during the 2019-2021 Biennium.

No Executive Recommendation made on these appropriations.

Appropriation Summary

Appropriation: 005 - Operations

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | |
|--------------------------------|---------|------------------|------------------|------------------|------------------|-----------|------------------|-----------|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive |
| Regular Salaries | 5010000 | 1,049,137 | 1,519,665 | 1,519,665 | 1,519,665 | 0 | 1,519,665 | 0 |
| #Positions | | 20 | 20 | 20 | 20 | 0 | 20 | 0 |
| Extra Help | 5010001 | 4,137 | 30,000 | 30,000 | 30,000 | 0 | 30,000 | 0 |
| #Extra Help | | 1 | 5 | 5 | 5 | 0 | 5 | 0 |
| Personal Services Matching | 5010003 | 362,563 | 453,548 | 453,548 | 461,996 | 0 | 461,996 | 0 |
| Operating Expenses | 5020002 | 442,159 | 615,450 | 615,450 | 615,450 | 0 | 615,450 | 0 |
| Conference & Travel Expenses | 5050009 | 4,976 | 30,000 | 30,000 | 30,000 | 0 | 30,000 | 0 |
| Professional Fees | 5060010 | 8,895 | 25,000 | 25,000 | 25,000 | 0 | 25,000 | 0 |
| Data Processing | 5090012 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Outlay | 5120011 | 29,538 | 50,000 | 50,000 | 50,000 | 0 | 50,000 | 0 |
| Total | | 1,901,405 | 2,723,663 | 2,723,663 | 2,732,111 | 0 | 2,732,111 | 0 |
| Funding Sources | | | | | | | | |
| State Central Services | 4000035 | 1,901,405 | 2,723,663 | | 2,732,111 | 0 | 2,732,111 | 0 |
| Total Funding | | 1,901,405 | 2,723,663 | | 2,732,111 | 0 | 2,732,111 | 0 |
| Excess Appropriation/(Funding) | | 0 | 0 | | 0 | 0 | 0 | 0 |
| Grand Total | | 1,901,405 | 2,723,663 | | 2,732,111 | 0 | 2,732,111 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 009 - Constitutional Officers-Auditor

Funding Sources: MCF - Constitutional Officers Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | |
|---|----------------|----------------|----------------|----------------|-----------|----------------|-----------|
| | Actual | Budget | Authorized | Agency | Executive | Agency | Executive |
| Travel Expense Reimbursement 5900046 | 28,718 | 45,000 | 45,000 | 45,000 | 0 | 45,000 | 0 |
| Special/Recalled Circuit Judges 5900049 | 10,281 | 350,000 | 350,000 | 350,000 | 0 | 350,000 | 0 |
| Trial Judges Expenses 5900050 | 181,946 | 400,000 | 400,000 | 400,000 | 0 | 400,000 | 0 |
| Total | 220,945 | 795,000 | 795,000 | 795,000 | 0 | 795,000 | 0 |
| Funding Sources | | | | | | | |
| Constitutional Officers Fund 4000025 | 220,945 | 795,000 | | 795,000 | 0 | 795,000 | 0 |
| Total Funding | 220,945 | 795,000 | | 795,000 | 0 | 795,000 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 |
| Grand Total | 220,945 | 795,000 | | 795,000 | 0 | 795,000 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 122 - Unclaimed Property
Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | |
|--------------------------------|---------|------------------|------------------|------------------|------------------|-----------|------------------|-----------|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive |
| Regular Salaries | 5010000 | 509,134 | 731,633 | 731,633 | 731,633 | 0 | 731,633 | 0 |
| #Positions | | 11 | 11 | 11 | 11 | 0 | 11 | 0 |
| Personal Services Matching | 5010003 | 167,502 | 224,156 | 224,156 | 228,700 | 0 | 228,700 | 0 |
| Operating Expenses | 5020002 | 444,392 | 713,000 | 713,000 | 713,000 | 0 | 713,000 | 0 |
| Conference & Travel Expenses | 5050009 | 7,197 | 15,000 | 15,000 | 15,000 | 0 | 15,000 | 0 |
| Professional Fees | 5060010 | 102,843 | 1,120,000 | 1,120,000 | 1,120,000 | 0 | 1,120,000 | 0 |
| Data Processing | 5090012 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Claims | 5110015 | 130,940 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Outlay | 5120011 | 3,188 | 50,000 | 50,000 | 50,000 | 0 | 50,000 | 0 |
| Total | | 1,365,196 | 2,853,789 | 2,853,789 | 2,858,333 | 0 | 2,858,333 | 0 |
| Funding Sources | | | | | | | | |
| State Central Services | 4000035 | 1,365,196 | 2,853,789 | | 2,858,333 | 0 | 2,858,333 | 0 |
| Total Funding | | 1,365,196 | 2,853,789 | | 2,858,333 | 0 | 2,858,333 | 0 |
| Excess Appropriation/(Funding) | | 0 | 0 | | 0 | 0 | 0 | 0 |
| Grand Total | | 1,365,196 | 2,853,789 | | 2,858,333 | 0 | 2,858,333 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 123 - CountyTreasurers Continuing Education

Funding Sources: TCE - County Treasurers Continuing Education Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | |
|--------------------------------|---------|-----------|-----------|------------|-----------|-----------|-----------|-----------|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive |
| Operating Expenses | 5020002 | 44,157 | 69,091 | 75,000 | 75,000 | 0 | 75,000 | 0 |
| Total | | 44,157 | 69,091 | 75,000 | 75,000 | 0 | 75,000 | 0 |
| Funding Sources | | | | | | | | |
| Fund Balance | 4000005 | 7,956 | 16,591 | | 0 | 0 | 0 | 0 |
| Fees | 4000245 | 52,792 | 52,500 | | 52,500 | 0 | 52,500 | 0 |
| Total Funding | | 60,748 | 69,091 | | 52,500 | 0 | 52,500 | 0 |
| Excess Appropriation/(Funding) | | (16,591) | 0 | | 22,500 | 0 | 22,500 | 0 |
| Grand Total | | 44,157 | 69,091 | | 75,000 | 0 | 75,000 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 1PD - County & Circuit Clerks Continuing Education

Funding Sources: SCC - County & Circuit Clerk Continuing Education Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | |
|-------------------------------------|-----------|-----------|------------|-----------|-----------|-----------|-----------|
| | Actual | Budget | Authorized | Agency | Executive | Agency | Executive |
| Expenses for County Clerks 5900046 | 35,269 | 75,000 | 75,000 | 75,000 | 0 | 75,000 | 0 |
| Expenses for Circuit Clerks 5900047 | 38,827 | 75,000 | 75,000 | 75,000 | 0 | 75,000 | 0 |
| Total | 74,096 | 150,000 | 150,000 | 150,000 | 0 | 150,000 | 0 |
| Funding Sources | | | | | | | |
| Fund Balance 4000005 | 73,732 | 104,969 | | 59,969 | 0 | 14,969 | 0 |
| Real Estate Transfer Tax 4000403 | 105,333 | 105,000 | | 105,000 | 0 | 105,000 | 0 |
| Total Funding | 179,065 | 209,969 | | 164,969 | 0 | 119,969 | 0 |
| Excess Appropriation/(Funding) | (104,969) | (59,969) | | (14,969) | 0 | 30,031 | 0 |
| Grand Total | 74,096 | 150,000 | | 150,000 | 0 | 150,000 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 1UG - Deputy Prosecuting Attorneys

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | | |
|--------------------------------|---------|-------------------|-------------------|-------------------|-------------------|-----------|-------------------|-----------|--|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive | |
| Regular Salaries | 5010000 | 18,217,112 | 19,150,000 | 19,150,000 | 19,150,000 | 0 | 19,150,000 | 0 | |
| #Positions | | 258 | 258 | 258 | 258 | 0 | 258 | 0 | |
| Personal Services Matching | 5010003 | 5,466,753 | 5,731,629 | 5,713,641 | 5,824,500 | 0 | 5,824,500 | 0 | |
| Special Deputy Exp Allowance | 5900046 | 4,800 | 4,800 | 4,800 | 4,800 | 0 | 4,800 | 0 | |
| Total | | 23,688,665 | 24,886,429 | 24,868,441 | 24,979,300 | 0 | 24,979,300 | 0 | |
| Funding Sources | | | | | | | | | |
| State Central Services | 4000035 | 23,688,665 | 24,886,429 | | 24,979,300 | 0 | 24,979,300 | 0 | |
| Total Funding | | 23,688,665 | 24,886,429 | | 24,979,300 | 0 | 24,979,300 | 0 | |
| Excess Appropriation/(Funding) | | 0 | 0 | | 0 | 0 | 0 | 0 | |
| Grand Total | | 23,688,665 | 24,886,429 | | 24,979,300 | 0 | 24,979,300 | 0 | |

FY21 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2019-2021 Biennium. No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 465 - County Collectors Continuing Education

Funding Sources: TCC - County Collectors Continuing Education Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | | |
|--------------------------------|---------|-----------|-----------|------------|-----------|-----------|-----------|-----------|--|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive | |
| Operating Expenses | 5020002 | 18,906 | 75,000 | 75,000 | 75,000 | 0 | 75,000 | 0 | |
| Total | | 18,906 | 75,000 | 75,000 | 75,000 | 0 | 75,000 | 0 | |
| Funding Sources | | | | | | | | | |
| Fund Balance | 4000005 | 112,852 | 147,073 | | 124,573 | 0 | 102,073 | 0 | |
| Fees | 4000245 | 53,127 | 52,500 | | 52,500 | 0 | 52,500 | 0 | |
| Total Funding | | 165,979 | 199,573 | | 177,073 | 0 | 154,573 | 0 | |
| Excess Appropriation/(Funding) | | (147,073) | (124,573) | | (102,073) | 0 | (79,573) | 0 | |
| Grand Total | | 18,906 | 75,000 | | 75,000 | 0 | 75,000 | 0 | |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: A04 - Unclaimed Property-Cash

Funding Sources: 110 - State Auditor Cash

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | | |
|--------------------------------|---------|-------------------|--------------------|-------------------|--------------------|-----------|--------------------|-----------|--|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive | |
| Operating Expenses | 5020002 | 2,361 | 2,500 | 2,500 | 2,500 | 0 | 2,500 | 0 | |
| Claims | 5110015 | 16,550,419 | 25,052,750 | 25,052,750 | 25,052,750 | 0 | 25,052,750 | 0 | |
| Total | | 16,552,780 | 25,055,250 | 25,055,250 | 25,055,250 | 0 | 25,055,250 | 0 | |
| Funding Sources | | | | | | | | | |
| Fund Balance | 4000005 | 74,332,630 | 78,694,616 | | 78,639,366 | 0 | 78,584,116 | 0 | |
| Cash Fund | 4000045 | 45,484,543 | 25,000,000 | | 25,000,000 | 0 | 25,000,000 | 0 | |
| Transfer to Treasury | 4000680 | (24,569,777) | 0 | | 0 | 0 | 0 | 0 | |
| Total Funding | | 95,247,396 | 103,694,616 | | 103,639,366 | 0 | 103,584,116 | 0 | |
| Excess Appropriation/(Funding) | | (78,694,616) | (78,639,366) | | (78,584,116) | 0 | (78,528,866) | 0 | |
| Grand Total | | 16,552,780 | 25,055,250 | | 25,055,250 | 0 | 25,055,250 | 0 | |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: C50 - Payment-Unclaimed Mineral Proceeds

Funding Sources: 110 - State Auditor Cash

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | | |
|--------------------------------|---------|------------------|------------------|------------------|------------------|-----------|-------------------|-----------|--|
| | | Actual | Budget | Authorized | Agency | Executive | Agency | Executive | |
| Operating Expenses | 5020002 | 0 | 500 | 500 | 500 | 0 | 500 | 0 | |
| Claims | 5110015 | 941,435 | 2,500,000 | 2,500,000 | 2,500,000 | 0 | 2,500,000 | 0 | |
| Total | | 941,435 | 2,500,500 | 2,500,500 | 2,500,500 | 0 | 2,500,500 | 0 | |
| Funding Sources | | | | | | | | | |
| Fund Balance | 4000005 | 6,558,087 | 5,993,461 | | 6,542,961 | 0 | 7,092,461 | 0 | |
| Cash Fund | 4000045 | 376,809 | 3,050,000 | | 3,050,000 | 0 | 3,050,000 | 0 | |
| Total Funding | | 6,934,896 | 9,043,461 | | 9,592,961 | 0 | 10,142,461 | 0 | |
| Excess Appropriation/(Funding) | | (5,993,461) | (6,542,961) | | (7,092,461) | 0 | (7,641,961) | 0 | |
| Grand Total | | 941,435 | 2,500,500 | | 2,500,500 | 0 | 2,500,500 | 0 | |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: F83 - Cty Coroners Ed Fund

Funding Sources: SCF - County Coroners Education Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | 2019-2020 | 2020-2021 | 2020-2021 | 2021-2022 | | 2022-2023 | |
|--|-----------|-----------|------------|-----------|-----------|-----------|-----------|
| | Actual | Budget | Authorized | Agency | Executive | Agency | Executive |
| County Coroners Education Exp# 5900046 | 26,484 | 75,000 | 75,000 | 75,000 | 0 | 75,000 | 0 |
| Total | 26,484 | 75,000 | 75,000 | 75,000 | 0 | 75,000 | 0 |
| Funding Sources | | | | | | | |
| Fund Balance 4000005 | 62,494 | 88,468 | | 65,968 | 0 | 43,468 | 0 |
| Real Estate Transfer Tax 4000403 | 52,458 | 52,500 | | 52,500 | 0 | 52,500 | 0 |
| Total Funding | 114,952 | 140,968 | | 118,468 | 0 | 95,968 | 0 |
| Excess Appropriation/(Funding) | (88,468) | (65,968) | | (43,468) | 0 | (20,968) | 0 |
| Grand Total | 26,484 | 75,000 | | 75,000 | 0 | 75,000 | 0 |

No Executive Recommendation made on this appropriation.